



Texas Tech University
Athlete-Agent/Financial Advisor Registration Form



Dear Agent:

I want to take this opportunity to give you a couple of reminders regarding Texas State Law:

- (1) You must be registered with the Secretary of State before you may contact a Texas athlete, either directly or indirectly, while the athlete has eligibility remaining.
- (2) You must be registered with the Secretary of State to enter into a contract with a Texas student-athlete.

Furthermore, Texas Tech University Policy requires all Agents to register with the TTU Athletic Department Compliance Office prior to contacting student-athletes using the form below. All contact and/or meetings with a student-athlete must be initiated through the Compliance Office. You cannot initiate contact with any members of a student-athlete's family in order to contact or set up a meeting with him/her. If they contact you and you set up a meeting you must notify the Compliance Office before the meeting takes place.

You may send written materials to a student-athlete only if you provide the Compliance Office with a copy of the materials.

Help us make our student-athlete's agent selection process as trouble-free as possible and protect their remaining eligibility. Please complete the attached Athlete Agent Registration Form and email or fax it to the TTU Compliance Office. Your cooperation will be greatly appreciated. If you have any questions, please contact me at 806-742-3355 X 283.

Sincerely,

Megan Graham
Compliance Eligibility Coordinator
megan.finch@ttu.edu



Texas Tech University

Athlete-Agent/Financial Advisor Registration Form



This form is designed to provide the Texas Tech University Athletic Compliance Office with detailed information on athlete-agents interested in pursuing its student-athletes as possible clients. Please complete this form in its entirety.

General Information

Last Name _____ First Name _____ MI _____

Birth Date ____ / ____ / ____

Agency/Firm Information

Name of Firm/Agency _____ Years with Agency _____

Address _____ City/State/Zip _____

Phone (____) - ____ x ____ Cell (____) - ____ Fax (____) - ____

Email address _____

Education

High School/Location _____ Graduation Date _____

College/Location (Undergraduate) _____

Degree(s) _____

Graduation Date _____

Graduate/Location _____

Degree(s) _____

Graduation Date _____

Law School/Location _____

Degree(s) _____

Graduation Date _____

Admitted to Bar (if applicable)? Yes No State _____ Date _____



Texas Tech University ~ Athlete-Agent Information Form

Experience

Number of years experience as an ___athlete-agent/___financial advisor:_____

Names of any athletes you previously or currently represent and, in team sports, the team/league to which each athlete is currently under contract and name of team representative with whom you negotiated this contract. Write "none" if you currently do not represent any athletes. If you represent athletes in more than one sport, please provide this information for at least five clients (athletes) in each sport.

Also, name any former Texas Tech University athletes that you previously or currently represent. Use additional sheets if necessary:

If you are a financial advisor, please list any athletes that you have represented, as well as current clients.

<u>Player Name</u>	<u>Team</u>	<u>Team Representative</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Other Qualifications

Current membership in professional Organizations:

_____	_____
_____	_____
_____	_____

Occupational or professional licenses (e.g., CPA, etc.):

License	Date Obtained
_____	_____
_____	_____
_____	_____
_____	_____

Please respond to the questions below by circling appropriate response(s):

- Are you currently registered by the State of Texas as an ___athlete-agent/___financial advisor? Yes No
- Are you currently certified by the NFLPA? Yes No Status? Permanent Provisional
- Are you currently certified by the NBPA? Yes No Status? Permanent Provisional
- Are you currently certified by the MLBPA? Yes No Status? Permanent Provisional



Texas Tech University ~ Athlete-Agent Information Form

Professional Services

General services performed for the clients:

Playing contract negotiations?	Yes	No	Hourly fee or percentage? _____
Endorsement contract negotiations?	Yes	No	Hourly fee or percentage? _____
Legal Assistance?	Yes	No	Hourly fee or percentage? _____
Money Management?	Yes	No	Hourly fee or percentage? _____
Tax Consulting?	Yes	No	Hourly fee or percentage? _____
Financial Planning?	Yes	No	Hourly fee or percentage? _____

For the services you perform for client-athletes, list the names and addresses of individuals, firms or agencies who assist in providing these services. Attach additional sheets if necessary.

Name _____	Address _____	City/State _____
Name _____	Address _____	City/State _____
Name _____	Address _____	City/State _____
Name _____	Address _____	City/State _____
Name _____	Address _____	City/State _____

In receiving compensation for contract negotiation services, do you receive payment "up front" or are your payments received as the player is compensated? _____

Please indicate which current Texas Tech University student-athlete(s) you plan to contact in the upcoming year: **All meetings with eligible student-athletes must be initiated through the Compliance Office.**

<u>Name</u>	<u>Sport</u>	<u>Name</u>	<u>Sport</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Do you earn income from work performed in some capacity other than as an _____ athlete-agent/ _____ financial advisor? Yes No

If yes, describe other occupation(s) or service(s) for which you are paid: _____

What approximate percentage of your total work time is consumed as an _____ athlete-agent/ _____ financial advisor? _____



Texas Tech University ~ Athlete-Agent Information Form

Previous Employment (most recent two positions)

Firm/Agency _____ Position _____
 Address _____ City/State/Zip _____
 Dates of Employment _____

Firm/Agency _____ Position _____
 Address _____ City/State/Zip _____
 Dates of Employment _____

References

Name _____ Company _____
 Position _____ Phone () - _____
 Address _____ City/State/Zip _____

Name _____ Company _____
 Position _____ Phone () - _____
 Address _____ City/State/Zip _____

Name _____ Company _____
 Position _____ Phone () - _____
 Address _____ City/State/Zip _____

I affirm that the above information is true, accurate and complete to the best of my knowledge. Further, I affirm that I will notify the Director of Compliance at Texas Tech University (or his designated representative) before contacting or setting up a meeting with any student-athlete with eligibility remaining in any sport at Texas Tech University. I also have reviewed NCAA, Texas Tech University and the State of Texas rules and regulations that accompany this form and have not engaged in any activity that would jeopardize and Texas Tech University student-athlete's eligibility. I understand that failure to comply with NCAA, Texas Tech University and State of Texas legislation may result in the initiation of legal proceedings by Texas Tech University against me and the termination of any association with the institution's student-athletes in the future.

Signature _____ Date _____

Please return completed form to: **Megan Graham**
Compliance Eligibility Coordinator
Texas Tech University
6th & Boston Ave.
Lubbock, TX 79409-3021
Phone: (806) 742-3355
Fax: (806) 742-0210