



# COMPLIANCE BRIEF

December 2011



## 10 – ETHICAL CONDUCT

### Unethical Conduct: [10.1]

Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member (including those not compensated), may include, but is not limited to, the following:

- Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- Knowing involvement in offering or providing a prospective or enrolled student-athlete an improper inducement or extra benefit or improper financial aid;
- Knowingly furnishing or knowingly influencing others to furnish the NCAA or the individual's institution false or misleading information concerning an individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation;
- Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor, or runner.

## 11 – CONDUCT AND EMPLOYMENT OF ATHLETICS PERSONNEL

### Recruiting Coordination Functions: [Bvlaw 11.7.1.2]

The following must be performed by the head coach or an assistant coach (except routine clerical tasks (e.g., answering phone)):

- Activities involving athletics evaluation and/or selection of prospects; and
- Making telephone calls to or receiving telephone calls from prospects, their parents/guardians or COACHES.

### EXCEPTIONS [Bvlaw 11.7.1.2.2]:

- A non-coaching staff member may make telephone calls to or receive telephone calls from a prospect or their parents/guardians starting the day after they have signed a National Letter of Intent with Texas Tech University.
- A noncoaching staff member may initiate telephone calls to and receive telephone calls from a PSA or those individuals accompanying the PSA during the PSA's official visit transportation and during his or her official visit.
- A noncoaching staff member may initiate telephone calls to and receive telephone calls from a PSA (or his or her parents, legal guardians, relatives or coach) that relate solely to institutional camp or clinic logistical issues (e.g., missing registration information), provided no recruiting conversation or solicitation of particular individuals to attend a camp or clinic occurs during such calls.

## 12 – AMATEURISM

### Permissible Promotional Activities Involving Student-Athletes: [Bvlaw 12.5.1]

It is permissible to use the name, picture, or appearance of a student-athlete to support charitable or educational activities or activities considered incidental to the student-athlete's participation in intercollegiate athletics provided:

- ✓ Written approval from athletics director or designee, not a coach;
- ✓ The only permissible sponsorship is the sponsoring company's officially registered regular trademark or logo;
  - May include emblem, name, address and telephone number;
  - No reproduction of product if commercial entity's trademark or logo appears in promotion;
- ✓ Student-athlete may not miss class;
- ✓ All moneys go directly to institution, conference or other permissible entity;
- ✓ Student-athlete may accept actual and necessary expenses;
- ✓ Name, picture or appearance may not be used to promote commercial ventures of nonprofit agency;
- ✓ Restrictions on the sale of commercial items:
  - ⇒ Non-informational items (e.g., name on jersey) that include student-athletes may not be sold;
  - ⇒ Institutionally controlled outlets or outlets controlled by other permissible entity; and
- ✓ Student-athlete and authorized representative of permissible entity must sign statement ensuring proper use of likeness or appearance.

**\*\*\*SA appearances must receive prior written approval from Justin Paysinger\*\*\***

## 13 - RECRUITING

### Official Visit Recruiting Meals:

- Permissible guests include: TTU coaches and their immediate family members (spouse and children), student host, prospects and parents/guardians/spouse, athletics and institutional (faculty) staff, spouse of athletics staff, spouse of institutional staff only on-campus.
- Permissible guests who must pay for their own meals include: prospect's family members (e.g., siblings, grandparents), volunteer coach's spouse, and student-athletes not designated as the student host.
- People who CANNOT attend the meal: Boosters, Board of Regents, former student-athletes and extended family/friends/significant others of TTU athletic coaches or staff.



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## 15 – FINANCIAL AID

### Mid-Year Enrollees [Bylaw 15.3.3.1.1(a)]

A student-athlete whose first full-time attendance at Texas Tech during a particular academic year occurs at midyear (e.g., the beginning of the second semester) may receive a financial aid award for the remainder of that academic year.

- As the spring semester begins, all midyear enrollees and any returning student-athletes not enrolled during the fall semester must be cleared for practice by Compliance before participating in practice.
- Per institutional procedure, student-athletes may not participate in practice activities until they have been cleared by Compliance. The NCAA requires specific forms to be completed before a student-athlete begins practice. In addition, we need to verify full-time enrollment and initial/transfer eligibility requirements.

## 16 – AWARDS, BENEFITS, AND EXPENSES FOR ENROLLED STUDENT-ATHLETES

### Team Entertainment [Bylaw 16.7]

Texas Tech is permitted to pay actual costs, but may not provide cash, for reasonable entertainment of our teams in the following scenarios:

- Within a 100-mile radius of the site of practice or competition for an **away game** or en route to an away game; and
- Within a 30-mile radius of campus during a **vacation period** when the team is required to reside on-campus when classes are not in session.
- It is permissible for our institution to rent a movie, provide pay-per-view movies in the student-athlete’s hotel rooms, or take the team to the movies the **night before a home game**.

## 17 – PLAYING AND PRACTICE SEASONS

### Out-of-Season Athletically Related Activities During the Winter Vacation Period [Bylaw 17.1.6.2.1.1]

- No countable athletically related activities are permitted during the upcoming winter vacation period if a team is **outside the playing season** (outside the 20 hr/wk limitation).
- Department-wide strength and conditioning coaches may design and conduct specific workout programs for student-athletes during the vacation period, provided such workouts:
  - Are voluntary and conducted at the request of the student-athlete.

## ADDITIONAL INFORMATION

### Sports Wagering [Bylaw 10.02.1]

Placing, accepting or soliciting a wager of any type with anyone on any intercollegiate, amateur or professional team or contest. Examples of sports wagering include, the use of a bookmaker or parlay card; Internet sports wagering; auctions in which bids are placed on teams, individuals or contests; and pools or fantasy leagues in which an entry fee is required and there is an opportunity to win a prize.

### Sports Wagering Activities [Bylaw 10.3]

The following individuals shall not participate in sports wagering activities or provide information to individuals involved in or associated with any type of sports wagering activities concerning intercollegiate, amateur or professional athletics competition:

- Institution's athletics department staff members;
- Chancellor, President, Faculty Athletics Representative, and individuals to whom athletics reports; and
- Student-athletes.

### Recruiting Calendar December 1 – December 31

Football	Track/ CC	M Basketball	W Basketball	Softball	Baseball	Volleyball	Soccer	Tennis/ Golf
<b>Contact:</b> 12/1-12/17	<b>Contact:</b> 12/1-12/11	<b>Evaluation:</b> 12/1-12/23	<b>Evaluation:</b> 12/1-12/23	<b>Dead:</b> 12/1-12/3	<b>Quiet:</b> 12/1-12/31	<b>Contact:</b> 12/1-12/4	<b>Contact:</b> 12/1-12/31	<b>Contact:</b> 12/1-12/31
<b>Quiet:</b> 12/18	<b>Dead:</b> 12/12-12/15	<b>Dead:</b> 12/24-12/26	<b>Dead:</b> 12/24-12/26	<b>Quiet:</b> 12/4-12/31		<b>Quiet:</b> 12/5-12/13		
<b>Dead:</b> 12/19-12/31	<b>Quiet:</b> 12/16-12/31	<b>Evaluation:</b> 12/27-12/31	<b>Evaluation:</b> 12/27-12/31			<b>Dead:</b> 12/14-12/31		